



STATE BANK OF INDIA, HONG KONG

Account Opening Form – For Individuals only

Account Number.....

Customer Number.....

Date.....

Main Account Holder

Full Name (as per HKID/Passport):

Please Provide Previous Full Name, If Name Changed:

HKID No.: Passport : Yes No (if yes, detail below)

Passport Number Issue Date Place of Issue Expiry Date

Date of Birth Place of Birth/Country:

Nationality: Place of Residence:

Marital Status: Single Married Others, please specify

Gender: Male Female

Residential Address:

Mailing Address (if different from above): Permanent Address: (if different from residential address): Same as above

Contact Details: Home: Office: Mobile: Email:

Occupation: Salaried Business Retired Housewife Others(please specify)

Job Title: Employer's / Business Type:

Name of Employer / Business: Employer / Business Contact No.

Employer / Business Address:

Annual Gross Income: HKD Equivalent: HKD Upto HKD 1,000,000 HKD 1,000,000 to 5,000,000 HKD 5,000,000 ABOVE

What is the source of the customer's wealth Salary (insert name of employer) Sales Proceeds arising from financial asset eg shares Business (insert name of business) Inheritance/Gifts Sales Proceeds arising from sale of Property Others, please elaborate:

Participation in any voluntary tax compliance program. Yes No If yes, please furnish the relevant details.

Customer willingness to receive bank information by ordinary mail to correspondence address. Yes No If no, please specify the reason

Are you a relative of any of the employees or directors of State Bank of India? Yes No If yes, please furnish the relevant details.

Customer's Signature:

Date:

Account Opening Form – For Individuals only

Account Number.....
Customer Number.....
Date.....

Joint Account Holder

Full Name (as per HKID/Passport):			
Please Provide Previous Full Name, If Name Changed:			
HKID No.:		Passport :	<input type="checkbox"/> Yes <input type="checkbox"/> No (if yes, detail below)
Passport Number		Place of Issue	
Issue Date		Expiry Date	
Date of Birth		Place of Birth/Country:	
Nationality:		Place of Residence:	
Marital Status:	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Others, please specify _____		
Gender:	<input type="checkbox"/> Male <input type="checkbox"/> Female		
Residential Address:			
Mailing Address <input type="checkbox"/> Same as above	(if different from above):	Permanent Address: (if different from residential address):	<input type="checkbox"/> Same as above
Contact Details:	Home: _____ Office: _____ Mobile: _____ Email: _____		
Occupation:	<input type="checkbox"/> Salaried <input type="checkbox"/> Business <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others(please specify)		
Job Title:		Employer's / Business Type:	
Name of Employer / Business:		Employer / Business Contact No.	
Business Address:			
Annual Gross Income:	HKD Equivalent: HKD <input type="checkbox"/> Upto HKD 1,000,000 <input type="checkbox"/> HKD 1,000,000 to 5,000,000 <input type="checkbox"/> HKD 5,000,000 ABOVE		
What is the source of the customer's wealth	<input type="checkbox"/> Salary _____ (insert name of employer) <input type="checkbox"/> Sales Proceeds arising from financial asset eg shares <input type="checkbox"/> Business _____ (insert name of business) <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Sales Proceeds arising from sale of Property <input type="checkbox"/> Others, please elaborate: _____		
Participation in any voluntary tax compliance program.			
<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please furnish the relevant details.			
Customer willingness to receive bank information by ordinary mail to correspondence address.			
<input type="checkbox"/> Yes <input type="checkbox"/> No If no, please specify the reason			
Are you a relative of any of the employees or directors of State Bank of India?			
<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please furnish the relevant details.			

 Customer's Signature:

 Date:



STATE BANK OF INDIA, HONG KONG

Account Opening Particulars

Account Type:	<input type="checkbox"/> Current Account <input type="checkbox"/> Time Deposits <input type="checkbox"/> Savings Account
Type of Account	<input type="checkbox"/> Single Account <input type="checkbox"/> Joint Account If joint Account please give relationship of the joint A/C holders _____
Mode of Operations:	<input type="checkbox"/> Single <input type="checkbox"/> Either Or Survivor <input type="checkbox"/> Jointly
Internet Banking:	Main Applicant Internet Banking : <input type="checkbox"/> YES <input type="checkbox"/> NO Joint Applicant Internet Banking : <input type="checkbox"/> YES <input type="checkbox"/> NO
ATM Facility	<input type="checkbox"/> YES <input type="checkbox"/> NO
Time Deposit:	Deposit 1: Tenor: _____ Amount: _____ Interest Rate: _____ Deposit 2: Tenor: _____ Amount: _____ Interest Rate: _____
Interest Credit Advice	Payable at maturity Credit in my Account: _____
Maturity Instruction:	Credit Account: _____ Auto renewal for same tenor
Purpose of Opening Account	
<input type="checkbox"/> Savings <input type="checkbox"/> Investment <input type="checkbox"/> Transaction <input type="checkbox"/> Payroll <input type="checkbox"/> Remittance <input type="checkbox"/> Others(please specify)	
Expected No. of Transactions in a Month <input type="checkbox"/> upto 20 times <input type="checkbox"/> 20-50 times <input type="checkbox"/> 50 times	
Expected Transactions Amount per year <input type="checkbox"/> <HKD1,000,000 <input type="checkbox"/> HKD1,000,000-5,000,000 <input type="checkbox"/> > HKD5,000,000	
Delivery Channel: <input type="checkbox"/> Counter Transaction / Face to Face <input type="checkbox"/> By debit card / Internet banking <input type="checkbox"/> By Fax / Other alternate channel	
Sources of funds / Income (Tick more than one box, if applicable)	
<input type="checkbox"/> Savings <input type="checkbox"/> Investment <input type="checkbox"/> Business Income <input type="checkbox"/> Self/Spouse salary income <input type="checkbox"/> Sale of real estate <input type="checkbox"/> Retirement Benefits <input type="checkbox"/> Others(please specify) _____	
Statement of Account Option:	<input type="checkbox"/> Passbook Only <input type="checkbox"/> Printed Statement <input type="checkbox"/> Electronic Statement

Customer's Signature

(All Customer's to Sign)



STATE BANK OF INDIA, HONG KONG

This to bring to your kind attention that we, State Bank of India, Hong Kong Branch/ ~~Kowloon Branch~~ have data processing arrangement with our H.O. i.e. (Corporate Center, Navi Mumbai, India) for the Core Banking Project and also for the E-banking (Internet Banking) service under the above project. We note to adhere to the provisions of customer data confidentiality in terms of: -HKMA SPM SA2 on Outstanding Personal Data (Privacy) Ordinance (PDPO), and The Common law duty of confidentiality to customers.

DECLARATION: I/We agree and confirm that all the information provided by me/us in this Application is true and correct to the best of my/our knowledge and belief, and will be repeated for so long as I/we have a relationship with the Bank, and that the Bank shall be duly entitled to rely on the accuracy of such information without any further investigations on the part of the Bank; in the case of any change; I/We will bring it to the knowledge of the Bank. I/We confirm having read and understood the General Terms & Conditions of Account Opening and the Bank's Notice on Personal data (Privacy) ordinance as provided at the time of Account Opening. I/We further acknowledge receipt of the Bank's Notice on Personal Data (Privacy) ordinance and consent to the contents therein including the uses and disclosure of my/our personal data described therein. I/We undertake all funds being routed by me/us under this Deposit(s) is/are legitimately sourced and not related to any criminal activities/drug trafficking/terrorist activities/other forms of money laundering and suspicious activities and do not violate any provisions of the laws in this regard. I/We also acknowledge that in case it is found, during the tenure of the deposit that the above conditions are not fulfilled, the Bank will be at liberty to inform Regulatory/ Law Enforcing Authorities in compliance with the Regulations/Legal Provisions in HK or other countries and will initiate action as will be deemed fit. I/we [the account-holder(s)] will indemnify State Bank of India, Hong Kong against any claim, liability, loss or expense arising from my/our instructions, my/our accounts, any transmission from my/our system to your (Bank) system, or the provision of any service to me/us, and against all expenses (including legal fees) incurred in the exercise or enforcement of Bank's rights including in recovering sums from me/us.

FOREIGN CURRENCY RISK: I/We acknowledge that there is an inherent exchange rate risk in Foreign Currency Deposits. Adverse movements in the exchange rates could reduce (or even eliminate) my return or earnings on the foreign Currency Deposits, and perhaps even part of the original investment. Such accounts may also be subject to exchange controls (if any) imposed on the currency held.

Deposit Protection Scheme: State Bank of India is a member of the Deposit protection Scheme. Eligible Deposits taken by this Bank are protected by the scheme up to limit of HKD 500,000 per depositor.

Deposit in the Account(s) applied hereunder is (are) deposit(s) qualified for protection under the Deposit Protection Scheme in Hong Kong.

- a. Savings Account
- b. Current Account
- c. Term Deposits up to 5 year maturity

However the following types of deposit is not protected by the Deposit protection scheme:

- a. Time deposits longer than 5 year in maturity
- b. Deposit from excluded persons (Excluded persons in the DPS ordinance generally refers to banks and a certain group of connected parties. (Please refer to the DPS website for full details) <https://hk.statebank>
- c. For details of the Deposit Protection Scheme, kindly refer to our website www.sbihongkong.com or Deposit Protection Board website <http://www.dps.org.hk>. Our Deposit Departments at Central and ~~Kowloon branches~~ can be contacted in case you desire to have further clarification regarding the same.
- d. I/we have read and understood the Bank's the terms and conditions , and the relevant service charges, which have all been made available to me/us at the Branch and/or website at www.sbihongkong.com and agree to be bound by them.

This is a token of our acceptance to the terms and conditions for opening the account <https://hk.statebank>

SI No	Customer's Name	Customer's Signature	Signature verified by Authorised Official along with Name & Designation
1			
2			
3			

STATE BANK OF INDIA, HONG KONG BRANCH (the "Bank")**Notice to Customers relating to the Personal Data (Privacy) Ordinance (the "Ordinance")****Dear Valued Client,**

- (a) From time to time, it is necessary for customers to supply the Bank with data in connection with the opening or continuation of accounts and the establishment or continuation of banking facilities or provision of banking services.
- (b) Failure to supply such data may result in the Bank being unable to open or continue accounts or establish or continue banking facilities or provide banking services.
- (c) It is also the case that data are collected from customers in the ordinary course of the continuation of the banking relationship, for example, when customers write cheques or deposit money.
- (d) The purposes for which data relating to a customer may be used are as follows: -
- (i) the daily operation of the services and credit facilities provided to customers;
 - (ii) conducting credit checks at the time of application for credit and at the time of regular or special reviews which normally will take place one or more times each year;
 - (iii) creating and maintaining the Bank's credit scoring models;
 - (iv) assisting other financial institutions to conduct credit checks and collect debts;
 - (v) ensuring ongoing credit worthiness of customers;
 - (vi) designing financial services or related products for customers' use;
 - (vii) marketing services, products and other subjects (please see further details in paragraph (g) below);
 - (viii) determining amounts owed to or by customers;
 - (ix) collection of amounts outstanding from customers and those providing security for customers' obligations;
 - (x) complying with the obligations, requirements or arrangements for disclosing and using data that apply to the Bank or any of its branches or that it is expected to comply according to:
 - (1) any law binding or applying to it within or outside the Hong Kong Special Administrative Region existing currently and in the future (e.g. the Inland Revenue Ordinance and its provisions including those concerning automatic exchange of financial account information);
 - (2) any guidelines or guidance given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers within or outside the Hong Kong Special Administrative Region existing currently and in the future (e.g. guidelines or guidance given or issued by the Inland Revenue Department including those concerning automatic exchange of financial account information);
 - (3) any present or future contractual or other commitment with local or foreign legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers that is assumed by or imposed on the Bank or any of its branches by reason of its financial, commercial, business or other interests or activities in or related to the jurisdiction of the relevant local or foreign legal, regulatory, governmental, tax, law enforcement or other authority, or self-regulatory or industry bodies or associations;
 - (xi) complying with any obligations, requirements, policies, procedures, measures or arrangements for sharing data and information within the group of the Bank and/or any other use of data and information in accordance with any group-wide programmes for compliance with sanctions or prevention or detection of money laundering, terrorist financing or other unlawful activities;
 - (xii) enabling an actual or proposed assignee of the Bank, or participant or sub-participant of the Bank's rights in respect of the customer to evaluate the transaction intended to be the subject of the assignment, participation or sub-participation; and
 - (xiii) Purposes relating thereto.
- (e) Data held by the Bank relating to a customer will be kept confidential but the Bank may provide such information to the following parties for the purposes set out in paragraph (d) above: -
- (i) any agent, contractor or third party service provider who provides administrative, telecommunications, computer, payment or securities clearing or other services to the Bank in connection with the operation of its business;
 - (ii) any other person under a duty of confidentiality to the Bank including a group company of the Bank which has undertaken to keep such information confidential;
 - (iii) the drawee bank providing a copy of a paid cheque (which may contain information about the payee) to the drawer;
 - (iv) credit reference agencies, and, in the event of default, to debt collection agencies;
 - (v) any person to whom the Bank or any of its branches is under an obligation or otherwise required to make disclosure under the requirements of any law binding on or applying to the Bank or any of its branches, or any disclosure under



and for the purposes of any guidelines or guidance given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers with which the Bank or any of its branches are expected to comply, or any disclosure pursuant to any contractual or other commitment of the Bank or any of its branches with local or foreign legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers, all of which may be within or outside the Hong Kong Special Administrative Region and may be existing currently and in the future;

- (vi) any actual or proposed assignee of the Bank or participant or sub-participant or transferee of the Bank's rights in respect of the customer; and
- (vii)
 - (1) the Bank's group companies;
 - (2) third party financial institutions, insurers, credit card companies, securities and investment services providers;
 - (3) third party reward, loyalty, co-branding and privileges programme providers;
 - (4) co-branding partners of the Bank and the Bank's group companies (the names of such co-branding partners can be found in the application form(s) for the relevant services and products, as the case may be);
 - (5) charitable or non-profit making organisations; and
 - (6) external service providers (including but not limited to mailing houses, telecommunication companies, telemarketing and direct sales agents, call centres, data processing companies and information technology companies) that the Bank engages for the purposes set out in paragraph (d)(vii) above.

Such information may be transferred to a place outside Hong Kong.

(f) With respect to data in connection with mortgages applied by a customer (whether as a borrower, mortgagor or guarantor and whether in the customer's sole name or in joint names with others) on or after 1 April 2011, the following data relating to the customer (including any updated data of any of the following data from time to time) may be provided by the Bank, on its own behalf and/or as agent, to a credit reference agency:

- (i) full name;
- (ii) capacity in respect of each mortgage (as borrower, mortgagor or guarantor, and whether in the customer's sole name or in joint names with others);
- (iii) Hong Kong Identity Card Number or travel document number;
- (iv) date of birth;
- (v) correspondence address;
- (vi) mortgage account number in respect of each mortgage;
- (vii) type of the facility in respect of each mortgage;
- (viii) mortgage account status in respect of each mortgage (e.g., active, closed, write-off (other than due to a bankruptcy order), write-off due to a bankruptcy order); and
- (ix) if any, mortgage account closed date in respect of each mortgage.

The credit reference agency will use the above data supplied by the Bank for the purposes of compiling a count of the number of mortgages from time to time held by the customer with credit providers in Hong Kong, as borrower, mortgagor or guarantor respectively and whether in the customer's sole name or in joint names with others, for sharing in the consumer credit database of the credit reference agency by credit providers (subject to the requirements of the Code of Practice on Consumer Credit Data approved and issued under the Ordinance).

(g) USE OF DATA IN DIRECT MARKETING

The Bank intends to use a customer's data in direct marketing and the Bank requires the customer's consent (which includes an indication of no objection) for that purpose. In this connection, please note that:

- (i) the name, contact details, products and services portfolio information, transaction pattern and behaviour, financial background and demographic data of a customer held by the Bank from time to time may be used by the Bank in direct marketing;
- (ii) the following classes of services, products and subjects may be marketed:
 - (1) Financial, insurance, credit card, banking and related services and products;
 - (2) reward, loyalty or privileges programmes and related services and products;
 - (3) services and products offered by the Bank's co-branding partners (the names of such co-branding partners can be found in the application form(s) for the relevant services and products, as the case may be); and
 - (4) donations and contributions for charitable and/or non-profit making purposes;
- (iii) the above services, products and subjects may be provided or (in the case of donations and contributions) solicited by the Bank and/or:
 - (1) the Bank's group companies;
 - (2) third party financial institutions, insurers, credit card companies, securities and investment services providers;
 - (3) third party reward, loyalty, co-branding or privileges programme providers;



- (4) co-branding partners of the Bank and the Bank's group companies (the names of such co-branding partners can be found in the application form(s) for the relevant services and products, as the case may be); and
- (5) charitable or non-profit making organisations;
- (iv) in addition to marketing the above services, products and subjects itself, the Bank also intends to provide the data described in paragraph (g)(i) above to all or any of the persons described in paragraph (g)(iii) above for use by them in marketing those services, products and subjects, and the Bank requires the customer's written consent (which includes an indication of no objection) for that purpose;
- (v) The Bank may receive money or other property in return for providing the data to the other persons in paragraph (g)(iv) above and, when requesting the customer's consent or no objection as described in paragraph (g)(iv) above, the Bank will inform the customer if it will receive any money or other property in return for providing the data to the other persons.

If a customer does not wish the Bank to use or provide to other persons his data for use in direct marketing as described above, the customer may exercise his opt-out right by notifying the Bank.

- (h) Under and in accordance with the terms of the Ordinance and the Code of Practice on Consumer Credit Data, any customer has the right: -
 - (i) to check whether the Bank holds data about him and of access to such data;
 - (ii) to require the Bank to correct any data relating to him which is inaccurate;
 - (iii) to ascertain the Bank's policies and practices in relation to data and to be informed of the kind of personal data held by the Bank;
 - (iv) to be informed on request which items of data are routinely disclosed to credit reference agencies or debt collection agencies, and be provided with further information to enable the making of an access and correction request to the relevant credit reference agency or debt collection agency; and
 - (v) in relation to any account data (including, for the avoidance of doubt, any account repayment data) which has been provided by the Bank to a credit reference agency, to instruct the Bank, upon termination of the account by full repayment, to make a request to the credit reference agency to delete such account data from its database, as long as the instruction is given within five years of termination and at no time was there any default of payment in relation to the account, lasting in excess of 60 days within five years immediately before account termination. Account repayment data include amount last due, amount of payment made during the last reporting period (being a period not exceeding 31 days immediately preceding the last contribution of account data by the Bank to a credit reference agency), remaining available credit or outstanding balance and default data (being amount past due and number of days past due, date of settlement of amount past due, and date of final settlement of amount in default lasting in excess of 60 days (if any)).
 - (i) In the event of any default of payment relating to an account, unless the amount in default is fully repaid or written off (other than due to a bankruptcy order) before the expiry of 60 days from the date such default occurred, the account repayment data (as defined in paragraph (h)(v) above) may be retained by the credit reference agency until the expiry of five years from the date of final settlement of the amount in default.
 - (j) In the event any amount in an account is written-off due to a bankruptcy order being made against a customer, the account repayment data (as defined in paragraph (h)(v) above) may be retained by the credit reference agency, regardless of whether the account repayment data reveal any default of payment lasting in excess of 60 days, until the expiry of five years from the date of final settlement of the amount in default or the expiry of five years from the date of discharge from a bankruptcy as notified by the customer with evidence to the credit reference agency, whichever is earlier.
 - (k) In accordance with the terms of the Ordinance, the Bank has the right to charge a reasonable fee for the processing of any data access request.
 - (l) The person to whom requests for access to data or correction of data or for information regarding policies and practices and kinds of data held are to be addressed is as follows: -
 - The Data Protection Officer
 - State Bank of India, Hong Kong Branch
 - 15th Floor, Central Tower, 28 Queen's Road, Central, Hong Kong
 - Telephone : 25239643 25971299
 - Fax : 28681966
 - (m) The Bank may have obtained a credit report on the customer from a credit reference agency in considering any application for credit. In the event the customer wishes to access the credit report, the Bank will advise the contact details of the relevant credit reference agency.
 - (n) Nothing in this Notice shall limit the rights of customers under the Personal Data (Privacy) Ordinance

I/we acknowledge receipt of notice relating to the Personal Data (Privacy) ordinance (3 pages).

Customer's Signature:

Date:



STATE BANK OF INDIA, HONG KONG

To: State Bank of India Hong Kong/ ~~Kowloon Branch~~

Self-Certification Form (Individual) for FATCA

To comply with the US Foreign Account Tax Compliance Act ("FATCA") which is effective starting 1 July 2014, this certificate is to be used by new account's applicants and account holders (individual) to declare whether the person is a U.S. person under the "FATCA" enacted in the United States on or after the effective date of FATCA.

Customer Name (Please complete in English Block Letter)
Identification Document Type [] HKID Card [] Passport [] Other
Document No.
Place of Birth
Date of Birth

Declaration of Tax Residency
I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country):
Table with 3 columns: Country/countries of tax residency, Tax reference number type, Tax reference number

I am a U.S. person (including a U.S. Resident / U.S. Citizen / U.S. Permanent Resident / U.S. Green Card Holder / U.S. Resident Alien) # [] Yes [] No
If you tick "Yes" to the question, please provide your U.S. Taxpayer Identification No. (TIN):
U.S. Taxpayer Identification No. grid

If you were born in U.S. but in this form you declare that you are not a U.S. person, please also provide us with a Certificate of Loss of Nationality of the United States.

Declaration
I hereby confirm the information provided above is true, accurate and complete. I undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.
Subject to applicable local laws, I hereby consent for State Bank of India Hong Kong Branch or any of its local or oversea subsidiaries (collectively "the Bank") to disclose, report, or share my information with local and overseas regulators or tax authorities where necessary to establish my tax liability in any jurisdiction.
Where required by local or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.
I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

The following certification is applicable for a U.S. person (i.e. you have ticked "Yes" in the relevant question above) only:
Under penalty of perjury, I certify that:
(i) The number shown on this form is my correct U.S. Taxpayer Identification Number ;
(ii) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the U.S. Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the U.S. IRS has notified me that I am no longer subject to backup withholding ; and
(iii) I am a U.S. citizen or other U.S. person.

For a U.S. person, you are required to provide the certifications contained in the above paragraph. The U.S. IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholdings.

Customer's Signature:
Date (MM-DD-YYYY):
Please specify an account number with the Bank to which the above signature is applicable:
S.V. stamp

To: State Bank of India Hong Kong/~~Kowloon Branch~~

Self-Certification Form (Individual) for FATCA

To comply with the US Foreign Account Tax Compliance Act ("FATCA") which is effective starting 1 July 2014, this certificate is to be used by new account's applicants and account holders (individual) to declare whether the person is a U.S. person under the "FATCA" enacted in the United States on or after the effective date of FATCA.

Customer Name (Please complete in English Block Letter) _____
 Identification Document Type HKID Card Passport Other _____ Document No. _____
 Place of Birth _____ Date of Birth _____

Declaration of Tax Residency

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country):

Country/countries of tax residency	Tax reference number type	Tax reference number

I am a U.S. person (including a U.S. Resident / U.S. Citizen / U.S. Permanent Resident / U.S. Green Card Holder / U.S. Resident Alien) # Yes No

If you tick "Yes" to the question, please provide your U.S. Taxpayer Identification No. (TIN): -

If you were born in U.S. but in this form you declare that you are not a U.S. person, please also provide us with a Certificate of Loss of Nationality of the United States.

Declaration

I hereby confirm the information provided above is true, accurate and complete. I undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.

Subject to applicable local laws, I hereby consent for State Bank of India Hong Kong Branch or any of its local or oversea subsidiaries (collectively "the Bank") to disclose, report, or share my information with local and overseas regulators or tax authorities where necessary to establish my tax liability in any jurisdiction.

Where required by local or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.

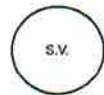
I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

The following certification is applicable for a U.S. person (i.e. you have ticked "Yes" in the relevant question above) only:

Under penalty of perjury, I certify that:

- (i) The number shown on this form is my correct U.S. Taxpayer Identification Number ;
- (ii) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the U.S. Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the U.S. IRS has notified me that I am no longer subject to backup withholding ; and
- (iii) I am a U.S. citizen or other U.S. person.

For a U.S. person, you are required to provide the certifications contained in the above paragraph. The U.S. IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholdings.



Customer's Signature: _____

Date (MM-DD-YYYY): _____

Please specify an account number with the Bank to which the above signature is applicable: _____



STATE BANK OF INDIA, HONG KONG (SBI HK)

Self-Certification Form - Automatic Exchange of Information(AEOI)-Individual

Important Notes:

- This is a self-certification form provided by an account holder to SBI HK for the purpose of automatic exchange of financial account information. The data collected may be transmitted by SBI HK to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.
- An account holder should report all changes in his/her tax residency status to SBI HK.
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by SBI HK to the Inland Revenue Department.

For joint or multiple account holders, complete a separate form for each individual account holder.

Part 1

Account Number / Customer Number :																			
Full Name (as per HKID / Passport)*:																			
HKID No.:								Passport : <input type="checkbox"/> Yes <input type="checkbox"/> No				(if yes, detail below)							
Passport Number		Issue Date						Place of Issue		Expiry Date									
		D	D	M	M	Y	Y	Y	Y			D	D	M	M	Y	Y	Y	Y
Date of Birth*		D	D	M	M	Y	Y	Y	Y										
Place of Birth / Country:		Town/City:				Province/State:				Country:									
Current Residence Address*																			
Mailing Address <input type="checkbox"/> Same as above		(if different from above):																	

Part 2

Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN") *
 Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder's TIN for each jurisdiction indicated. Indicate all (not restricted to five) jurisdictions of residence.
 If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.
 If a TIN is unavailable, provide the appropriate reason A, B or C:
 Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
 Reason B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.
 Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

Jurisdiction of Residence	TIN	Enter Reason A, B or C if no TIN is available	Explain why the account holder is unable to obtain a TIN if you have selected Reason B
(1)			
(2)			
(3)			

Customer's Signature:

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Part 3**Declarations and Signature**

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by SBI HK for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by SBI HK to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

I certify that I am the account holder / I am authorized to sign for the account holder # of all the account(s) to which this form relates.

I undertake to advise SBI HK of any change in circumstances which affects the tax residency status or causes the information contained herein to become incorrect, and to provide SBI HK with a suitably updated self-certification form within 30 days of such change in circumstances.

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

Customer's Signature:

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Full Name: _____

Capacity: _____

(Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of the power of attorney.)

Delete as appropriate

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).



STATE BANK OF INDIA, HONG KONG (SBI HK)

Self-Certification Form - Automatic Exchange of Information(AEOI)-Individual

Important Notes:

- This is a self-certification form provided by an account holder to SBI HK for the purpose of automatic exchange of financial account information. The data collected may be transmitted by SBI HK to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.
- An account holder should report all changes in his/her tax residency status to SBI HK.
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by SBI HK to the Inland Revenue Department.

For joint or multiple account holders, complete a separate form for each individual account holder.

Part 1

Account Number / Customer Number :																			
Full Name (as per HKID / Passport)*:																			
HKID No.:								Passport : <input type="checkbox"/> Yes <input type="checkbox"/> No				(if yes, detail below)							
Passport Number		Issue Date						Place of Issue		Expiry Date									
		D	D	M	M	Y	Y	Y	Y			D	D	M	M	Y	Y	Y	Y
Date of Birth*		D	D	M	M	Y	Y	Y	Y										
Place of Birth / Country:		Town/City:				Province/State:				Country:									
Current Residence Address*																			
Mailing Address <input type="checkbox"/> Same as above		(if different from above):																	

Part 2

Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN") *

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder's TIN for each jurisdiction indicated. Indicate all (not restricted to five) jurisdictions of residence.

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

If a TIN is unavailable, provide the appropriate reason A, B or C:

Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

Reason B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

Jurisdiction of Residence	TIN	Enter Reason A, B or C if no TIN is available	Explain why the account holder is unable to obtain a TIN if you have selected Reason B
(1)			
(2)			
(3)			

Customer's Signature:

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---



Part 3

Declarations and Signature

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by SBI HK for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by SBI HK to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

I certify that I am the account holder / I am authorized to sign for the account holder # of all the account(s) to which this form relates.

I undertake to advise SBI HK of any change in circumstances which affects the tax residency status or causes the information contained herein to become incorrect, and to provide SBI HK with a suitably updated self-certification form within 30 days of such change in circumstances.

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

Customer's Signature:

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Full Name: _____

Capacity: _____

(Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of the power of attorney.)

Delete as appropriate

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

STATE BANK OF INDIA, HONG KONG

Account Number/Customer Number:	
Full Name (as per HKID/Passport):	

A. In addition to statutory requirements, State Bank of India Hong Kong will not discriminate against any customer on the basis of family status, sexuality, age or race in the provision of banking services and in the quality and terms of services provided.

B. Customer can lodge/register his complaint/feedback on any of the following channels:

By calling branch

By visiting branch

In writing /by email/ fax or post to branch / Regional Head Office /International Banking group

Through Bank complaint Unit of HKMA.

All complaints received will be recorded by the Bank in a register maintained by the Chief Operating Officer, who is the nodal officer for complaints handling at the branch.

(For more information please visit our bank website <https://hk.statebank>)

C. Printed copy of the terms and conditions is available on demand or at bank's website <https://hk.statebank> I/we have read and understood the Bank's General terms and conditions and the relevant service charges.

D. The Bank intends to use the customer's data in direct marketing, please provide your consent: YES /NO

(For details please refer to para (g) of **Notice to Customers relating to the Personal Data (Privacy) Ordinance**)

E. **Declaration:** i) I declare that I am not bankrupt and/or will inform SBIHK if there is any bankruptcy pending against me initiated.

ii) I understand that the closure proceeds of my fixed deposit/s will be made in my own account.

This annexure is the part of account opening form.

1 st Account holder signature	2 nd Account holder signature

Date:

Key Facts Statement (KFS) for Deposit Accounts Facility

State Bank of India, Hong Kong) Branch (“Bank”)

Deposit Accounts Facility
01st July 2020

This product is a Deposit Accounts (Saving Accts (SB A/Cs), Fixed Deposit Accts (FD A/Cs) facility.

This statement provides you with indicative information about documents requirement, interest, fees, charges and Inoperative status of this product but please refer to our Terms and Conditions for Deposit Accounts available on our website <https://hk.statebank> for details.

Identification Documents

Proof of Status (Identity)	Valid and current identification document containing photograph, date of birth and identification number. The following unexpired documents shall be accepted for verifying an individual customer, a. HKID for permanent residents of HK: i) Copies of permanent Hong Kong identity card b. Non-permanent resident of HK: i) A Hong Kong identity card ii) Valid international Passport bearing the individual photograph and valid visas of stay c. Identity for non-HK residents: i) A valid travel document bearing photo and valid visa of stay ii) A current National ID Card or iii) A current valid national (i.e. Government or state issued) driving card bearing the photo of the individual
Residential Address documents	Any of the following: (a) an utility bill issued within the last 2 months (water, gas, electricity, telephone etc.) (b) recent correspondence from a Government department or agency (i.e. issued within the last 3 months); (c) a statement, issued by an authorized institution, a licensed corporation or an authorized insurer within the last 2 months; (f) a letter from an immediate family member at which the individual resides confirming that the applicant lives at that address in Hong Kong, setting out the relationship between the applicant and the immediate family member, together with evidence that the immediate family member resides at the same address (for persons such as students and housewives who are unable to provide proof of address of their own name); (g) mobile phone or pay TV statement issued within the last 2 months; (h) Bank account statement of last 2 months.

Interest Rates

Interest Rate for SB Accounts	For HKD 0.25% For USD 0.25%
Interest Rates for Fixed deposit accounts	1. For latest fixed deposit rates kindly visit our website https://hk.statebank 2. The interest shall only accrue on funds which have been successfully credited for value to an account.

Minimum Balance, Fees and Charges

Minimum balance for SB A/Cs	SB: HKD 5000 USD: 2000
Quarterly Charges, if minimum balance not maintained (per quarter)	SB: HKD 200, USD 25
Cheque Book Issue Charges	One cheque book free annually, additional cheque book @ HKD 150 per cheque book
Account closure	within 12 months HKD: 150 USD: 20

Inoperative Saving Accounts

- All such saving accounts where there are no debit or credit induced transaction by the account holder(s) for 24 months.
- For reactivation of Inoperative Accounts: a) Account holder(s) are requested to visit the branch with their original latest Identification documents mentioned above.
b) Fill the Review Sheet of Customer Information
c) Account holder(s) are requested to withdraw or deposit HKD 10(minimum) from his/her account.
- Bank will send the notice for Inoperative account status at Account holder(s) registered residential address. If no communication received from the account holder(s) within 30 days of the issuance of the final notice, Bank will close the SB Account and the balance held in the account will be paid by bankers cheque and mail to the last mailing address available in the bank's record after closing the account.

Operations in Joint Account

- Where a Joint Account is operated with a single signing authority, instructions from any of the Joint Account Holders will be accepted by the Bank and such instructions will be binding on the other Joint Account Holders.
- Where a joint Account is operated with joint signing authority, instructions may be given by the joint account holders in one or more counterparts, all of which when taken together shall constitute one and the same document.

For more information kindly visit our website: <https://hk.statebank> or contact our front desk staff at 022-25971222

Signature of 1st Account Holder
Date:

Signature of 2nd Account holder

STATE BANK OF INDIA (For individuals)
(Bank Incorporated in India with limited Liability)
" Onlinesbiglobal.com "
Request Form For Transaction Rights

To
The Branch Manager
State Bank of India
Hong Kong Branch

I am a registered USER of your Internet Banking Service for my / our following Account (s) at your branch.

Applicant's Name : (Max. 25 characters)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please mention 9digit CIF No as mentioned in your Pass Book / Statement of Account)

You are requested to provide transaction rights on your internet banking facility to me.

<u>Date of Birth</u>	<u>e-mail Address</u>	
<input type="text"/>	<input type="text"/>	<input type="text"/>
DD MM YY		Telephone No(s).
<u>Address (as per bank's records)</u>	Office: _____	
_____	Residence: _____	

Pin _____		

I confirm having read and understood the document containing the "Terms of Service (Terms & Conditions) " governing the SBIHK's Internet Banking "onlinesbiglobal" and I accept the same. I further agree that the transactions executed over OnlineSBI in above-mentioned accounts under my Username and Password will be legally binding on me.

Date _____	SIGNATURE VERIFIED
	AUTHORISED OFFICIAL APPLICANT'S SIGNATURE

To

State Bank of India

SBI Hong Kong

Request for cheque Book

CIF No:

I am maintaining SB Account No _____ with your branch. You are requested to issue me a cheque book for the captioned account.

Regards

Name:

Mob No

Date