



STATE BANK OF INDIA, HONG KONG

To: State Bank of India Hong Kong/~~Kowloon Branch~~

Self-Certification Form (Individual) for FATCA

To comply with the US Foreign Account Tax Compliance Act ("FATCA") which is effective starting 1 July 2014, this certificate is to be used by new account's applicants and account holders (individual) to declare whether the person is a U.S. person under the "FATCA" enacted in the United States on or after the effective date of FATCA.

Customer Name (Please complete in English Block Letter) _____
Identification Document Type [] HKID Card [] Passport [] Other _____ Document No. _____
Place of Birth _____ Date of Birth _____

Declaration of Tax Residency
I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country):
Table with 3 columns: Country/countries of tax residency, Tax reference number type, Tax reference number

I am a U.S. person (including a U.S. Resident / U.S. Citizen / U.S. Permanent Resident / U.S. Green Card Holder / U.S. Resident Alien) # [] Yes [] No
If you tick "Yes" to the question, please provide your U.S. Taxpayer Identification No. (TIN): U.S. Taxpayer Identification No. [][][][] - [][][][]

If you were born in U.S. but in this form you declare that you are not a U.S. person, please also provide us with a Certificate of Loss of Nationality of the United States.

Declaration
I hereby confirm the information provided above is true, accurate and complete. I undertake to notify the Bank within 30 calendar days if there is a change in any information which I have provided to the Bank.
Subject to applicable local laws, I hereby consent for State Bank of India Hong Kong Branch or any of its local or oversea subsidiaries (collectively "the Bank") to disclose, report, or share my information with local and overseas regulators or tax authorities where necessary to establish my tax liability in any jurisdiction.
Where required by local or overseas regulators or tax authorities, I consent and agree that the Bank may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.
I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

The following certification is applicable for a U.S. person (i.e. you have ticked "Yes" in the relevant question above) only:
Under penalty of perjury, I certify that:
(i) The number shown on this form is my correct U.S. Taxpayer Identification Number ;
(ii) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the U.S. Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the U.S. IRS has notified me that I am no longer subject to backup withholding ; and
(iii) I am a U.S. citizen or other U.S. person.

For a U.S. person, you are required to provide the certifications contained in the above paragraph. The U.S. IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholdings.

Customer's Signature: _____
Date (MM-DD-YYYY): _____
Please specify an account number with the Bank to which the above signature is applicable: _____
S.V. (Signature Verification) circle



STATE BANK OF INDIA, HONG KONG (SBI HK)

Self-Certification Form - Automatic Exchange of Information(AEOI)-Individual

Important Notes:

- This is a self-certification form provided by an account holder to SBI HK for the purpose of automatic exchange of financial account information. The data collected may be transmitted by SBI HK to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.
- An account holder should report all changes in his/her tax residency status to SBI HK.
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by SBI HK to the Inland Revenue Department.

For joint or multiple account holders, complete a separate form for each individual account holder.

Part 1

Account Number / Customer Number :																			
Full Name (as per HKID / Passport)*:																			
HKID No.:								Passport : <input type="checkbox"/> Yes <input type="checkbox"/> No				(if yes, detail below)							
Passport Number								Place of Issue											
Issue Date		D	D	M	M	Y	Y	Y	Y	Expiry Date		D	D	M	M	Y	Y	Y	Y
Date of Birth*		D	D	M	M	Y	Y	Y	Y										
Place of Birth / Country:		Town/City:				Province/State:				Country:									
Current Residence Address*																			
Mailing Address <input type="checkbox"/> Same as above		(if different from above):																	

Part 2

Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN") *

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder's TIN for each jurisdiction indicated. Indicate all (not restricted to five) jurisdictions of residence.

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.
If a TIN is unavailable, provide the appropriate reason A, B or C:
Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
Reason B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.
Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

Jurisdiction of Residence	TIN	Enter Reason A, B or C if no TIN is available	Explain why the account holder is unable to obtain a TIN if you have selected Reason B
(1)			
(2)			
(3)			

Customer's Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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Part 3**Declarations and Signature**

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by SBI HK for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by SBI HK to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

I certify that I am the account holder / I am authorized to sign for the account holder # of all the account(s) to which this form relates.

I undertake to advise SBI HK of any change in circumstances which affects the tax residency status or causes the information contained herein to become incorrect, and to provide SBI HK with a suitably updated self-certification form within 30 days of such change in circumstances.

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

Customer's Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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Full Name: _____

Capacity: _____

(Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of the power of attorney.)

Delete as appropriate

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).